

SUBSTITUTE FORM PTO-1390 (REV. 12-2004)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER H1089/20023	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/518213</b>	
INTERNATIONAL APPLICATION NO. PCT/IN03/00206		INTERNATIONAL FILING DATE June 2, 2003		PRIORITY DATE CLAIMED June 2, 2003	
TITLE OF INVENTION		NOVEL POLYMORPHS OF IMATINIB MESYLATE			
APPLICANT(S) FOR DO/EO/US		Reddy Bandi PARTHASARADHI, Reddy Kura RATHNAKAR, Reddy Rapolu RAJI, Reddy Dasari MURALIDHARA and Reddy Kesireddy SUBASH CHANDER			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☒ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (attached to Request form)
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included.
- ☒ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Request form, Express mailing transmittal form (label EL962208901UA), return receipt postcard

U.S. APPLICATION NO. (If known, See 37 CFR 1.5) <b>10/51821</b>		INTERNATIONAL APPLICATION NO. PCT/IN03/00206		ATTORNEY'S DOCKET NO. H1089/20023	
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21. The following fees are submitted:

<input checked="" type="checkbox"/> c) Basic national fee .....	\$300.00	\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee .....	\$200.00	\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee .....	\$500.00	\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1000.00</b>	

☐ Additional fee for specification and drawings filled in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NUMBER EXTRA	RATE		
Total claims	24 - 20 =	4	x \$50.00	\$ 200.00	
Independent	3 - 3 =	0	x \$200.00	\$	

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00

**TOTAL OF ABOVE CALCULATIONS =** \$1,200.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL =** \$ 600.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =** \$ 600.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**TOTAL FEES ENCLOSED =** \$ 600.00

	Amount to be refunded:	\$
	Amount to be charged:	\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 03-0075 in the amount of \$ 600.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-0075. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the Application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Customer No. 03000

SIGNATURE  
 Robert S. Silver  
 NAME  
 35,681  
 REGISTRATION NUMBER

Applicant : Reddy Bandi PARTHASARADHI et al.

Title : NOVEL POLYMORPHS OF IMATINIB MESYLATE

The following documents are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. §371, based on the International Application No. PCT/IN03/00206 which includes the following:

1. One (1) sheet of Transmittal Letter;
2. Calculation sheet, in duplicate;
3. Application Data Sheet;
4. Preliminary Amendment;
5. PCT Request form with Declaration;
6. English translation of PCT Application, as filed with 6 pages Description, 2 pages Claims, 1 page Abstract, and 2 sheets Drawings; and
7. Return Receipt Post Card.

**"Express Mail" Mailing Label No. EL 962 208 901 US**  
**Date of Deposit December 16, 2004**

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Judy Perez

